

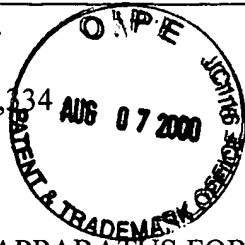
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Okita, et al.

Application No.: 09/557,334

Filed: 4/24/2000

Title: METHOD AND APPARATUS FOR
EXTENSIBLE REAL-TIME
WORKFLOWS



Group Art Unit: 2775

Attorney Docket No.: 02365.P0013

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

- ☒ Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.
- ☐ Statutory basic filing fee ☐ Utility ☐ Design
- ☐ Additional claim fees of \$ _____
- ☒ Missing Parts Surcharge = \$130.00
- ☐ A Petition for Extension of Time for reply to Notice of Missing Parts is attached.
 - ☐ one month = \$110.00
 - ☐ two months = \$400.00
 - ☐ three months = \$950.00
 - ☐ four months = \$1,510.00

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: 8-2-00

Typed Name: Bruce A. Johnson

Signature: [Signature]

Respectfully submitted,

By [Signature]

Bruce A. Johnson
Reg. No. 57361

Date: 8-2-00

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FORMALITIES LETTER



OC000000005206730



**UNITED STATES DEPARTMENT OF
COMMERCE**

Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/557,334	04/24/2000	Glen K Okita	02365.P0013

22503
DAVIS & JOHNSON
P O BOX 1093
4503 W HWY 290
DRIPPING SPRINGS, TX 78620

Date Mailed: 06/28/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/09/2000 BHABTEM 00000045 09557334

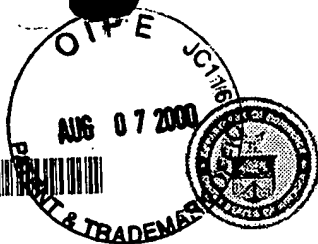
01 FC:105

130.00 DP

FILING RECEIPT



OC00000005206729



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/557,334	04/24/2000	2756	1680	02365.P0013	14	49	9

22503
DAVIS & JOHNSON
P O BOX 1093
4503 W HWY 290
DRIPPING SPRINGS, TX 78620

Date Mailed: 06/28/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Glen K Okita, Residence Not Provided;
Suresh K Agarwal, Residence Not Provided;
Alex Y M Chan, Residence Not Provided;
Roy S Ho, Residence Not Provided;
~~Stanley~~ Stanley Poon, Residence Not Provided;
Fung-Wah Lin, Residence Not Provided;
Binu Thomas, Residence Not Provided;

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 06/27/2000

Title

Apparatus and method for extensible real-time workflows

Preliminary Class

709

Rev 713-50
Glen ✓

6/27/00 3:55 PM



Section #

PTO/SB/21 (12-97)

Approved for use through 9/30/00. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM


(to be used for all correspondence after initial filing)

Application Number	09/557,334		
	Filing Date	Apr 24, 2000	
	First Named Inventor	Okita, Glen Kazumi	
	Group Art Unit	2775	
	Examiner Name		
Total Number of Pages in This Submission	12	Attorney Docket Number	02365.P0013

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Check No. 1598 for \$130.00
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	Corrected Filing Receipt
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request of Refund	Declaration (8 pages)
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Davis & Johnson LLP	 2 2 5 0 3 PATENT TRADEMARK OFFICE
Signature	Bruce A. Johnson	
Date	August 2, 2000	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:			
Type or printed name	Bruce A. Johnson		
Signature		Date	August 2, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.